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Introduction

Nanotechnology is a powerful new technology for taking apart and reconstructing nature at the atomic and molecular level. It is being touted as the basis of the next industrial revolution and will be used to transform and construct a wide range of new materials, devices, technological systems and even living organisms.

Nanotechnology will likely underpin and impact all industries and sectors of the economy, and is likely to facilitate far-reaching changes in social, economic and ecological relations. Opinion is sharply divided regarding whether these changes will be largely positive or negative. Proponents suggest that nanotechnology will deliver gains in fields as diverse as manufacturing, medicine, environmental remediation and military applications. However critics argue that nanotechnology introduces serious new risks to human health and the environment, raises problematic ethical issues and is likely to result in large-scale socio-economic disruption.

Governments are beginning to recognize the need for new laws to protect workers, the public and the environment from the risks of nanotoxicity. However despite the commercial availability of over 720 products containing nanomaterials¹, not a single government worldwide has yet introduced regulations that require nanomaterials to be subject to new safety assessments prior to commercial release. The failure of government regulators to take seriously the early warning signs surrounding nanotoxicity² suggests that they have learnt nothing from any of the long list of disasters that resulted from the failure to respond to early warning signs about previous perceived “wonder” materials (like asbestos, DDT and PCBs)³.

Nanotechnology is being commercialized largely outside of general public awareness or debate, and without any serious attempt to involve the community in decision making about its introduction. Issues of ethics, democracy and nanotechnology's broader socio-economic impacts have yet to register in the debate.

What is nanotechnology?

There is still not an internationally accepted nomenclature, set of definitions and measurement systems for nanotechnology, although work towards these has begun. The lack of a standardized nomenclature and measurement system has made it difficult to compare safety tests, exposure measurement and risk assessment carried out to date. However, the term 'nanotechnology' is now generally understood to encompass both nanoscience and the broad range of technologies that operate at the nanoscale.

- Nanoscience: The study of phenomena and materials at the atomic, molecular and macromolecular scales, where properties differ significantly from those at the larger scale
- Nanotechnology: design, characterization, production and application of structures, devices and systems by controlling shape and size at the nanoscale
- Nanoscale: having one or more dimensions of the order of 100nm or less, or having at least one dimension that affects functional behavior at this scale
- Nanomaterials: particles, nanotubes, nanowires, quantum dots, fullerenes (buckyballs) etc that exist at a scale of 100nm or less, or that have at least one dimension that affects their functional behavior at this scale

One nanometre (nm) is one thousandth of a micrometer (μm), one millionth of a millimeter (mm) and one billionth of a meter (m).

To put 100 nanometers in context: a strand of DNA is 2.5nm wide, a protein molecule is 5nm, a virus particle 150nm, a red blood cell 7,000 nm and a human hair is 80, 000 nm wide and a flea is around 1,000,000nm in size.

Engineered vs. incidental nanoparticles

Engineered nanoparticles are deliberately manufactured and can be distinguished from nanoparticles that 'exist in nature', or are by-products of other human activities. 'Incidental' nanoparticles (also called ultrafine particles in the study of air pollution and its epidemiology) are a by-product of forest fires and volcanoes, and high-temperature industrial processes including combustion, welding, grinding and vehicle combustion.

It is the manufactured or engineered nanotechnological products and processes that are the primary focus of the issues raised in this briefing paper. However many of the safety and regulatory issues relating to manufactured nanoparticles are also relevant to incidentally produced nanoparticles (e.g. the need to establish safe workplace exposure limits for all types of nanoparticles).

Nanotechniques

A number of forms of nano-techniques can be distinguished, including:

- *Nanoparticle production*: this includes production of carbon nanotubes, "buckyballs", quantum dots, and the nano-scale manufacture of previously larger scale materials and chemical compounds (including metal oxides such as titanium, zinc, aluminum, manganese, iron, silver, gold and more)
- *Nanofabrication and molecular manufacturing*: these include a range of existing and hypothetical techniques and processes for assembling systems and structures from the atom up. The goal of molecular manufacturing is to create independent small-scale nanofactories that will enable efficient, decentralized industrial manufacture with atomic level precision
- *Nanobiotechnology*: this includes the use of nanotechnology to manipulate living organisms and to enable the merging of biological and non-biological materials

Why is nanotechnology attracting so much interest?

What is novel about nanotechnology?



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The fundamental properties of matter change at the nanoscale. The properties of atoms and molecules are not governed by the same physical laws as larger objects or even larger particles, but by “quantum mechanics”. The physical and chemical properties of nanoparticles can therefore be quite different from those of larger particles of the same substance. Altered properties can include but are not limited to color, solubility, material strength, electrical conductivity, magnetic behavior, mobility (within the environment and within the human body), chemical reactivity and biological activity⁴.

The altered properties of nano-sized particles have created new possibilities for profitable products and applications. The use of nanoparticles, the potential of nanofabrication and molecular manufacturing, and new breakthroughs associated with synthetic biology and the melding of living and non-living organisms, has generated a lot of excitement in the research and business communities. Oxford University Professor George Smith has been quoted as joking that “nano is from the Greek verb meaning ‘to attract research funding’”⁵.

Why are people touting nano as the next industrial revolution?

Nanotechnology embodies the dream of controlling the building blocks of both living and non-living things, and the ability to remake the world from the atom up.

The excitement around nano is building because people believe that it will bring changes as significant or potentially more far reaching than those that accompanied the European Industrial revolution. Proponents and critics alike suggest that nanotechnology will enable breakthroughs in a wide number of different fields – communications, agriculture, cognitive science, medicines, military and environmental remediation to name a few...

“Convergence of diverse technologies is based on material unity at the nanoscale and on technology integration from that scale... [Nanoscience and nanotechnology] will allow us to understand and, when desirable, to control the behavior both of complex microsystems, such as neurons and computer components, and macrosystems, such as human metabolism and transportation vehicles”⁶.

“The real power of nanoscale science is the potential to converge disparate technologies that can operate at this scale. With applications spanning all industry sectors, technological convergence at the nanoscale is poised to become the strategic platform for global control of manufacturing, food, agriculture and health in the immediate years ahead”⁷.

Why should we be skeptical about nanotechnology's ability to solve our problems?

Nanotechnology proponents suggest that it may provide a panacea for growing problems of climate chaos, water shortages, pollution, poverty, disease and social unrest.

Nano optimists see nanotechnology providing: universal clean water supplies; greater productivity in agriculture and nutritionally enhanced foods; cheap and powerful solar energy generation; clean and highly efficient manufacturing; and radically improved formulation of drugs, delivery modes, diagnostics and effective vaccines for most diseases.

However industrial-technological solutions alone cannot fix problems stemming from socio-economic inequity or the unequal distribution of power. Nanotechnology, like other new technologies, does not exist in isolation from its economic or political context. Nano investment and applications to date remain driven by the less altruistic economic and political realities of the ‘real world’.

The first nanoproducts to be released commercially are targeted squarely at wealthy consumers in the global north, including: anti-wrinkle cosmetics; odor-eating socks; superior display screens for computers, televisions and mobile phones; premium coatings for luxury cars; and self-cleaning windows and bathrooms.



The US government, which is the world's biggest funder of nano research, directs the largest portion of its funding to military applications. In 2006, the US defense program received a third of the total US\$1.3billion investment in nanotechnology, which was a greater share than that received by the National Science Foundation⁶.

Our experience of the European Industrial revolution, and other periods of rapid industrial and technological change, tells us that the benefits of nanotechnology will not be shared equally. Very little attention has been paid to studies of the likely disruptive impacts for the world's poorest people if and when nanomaterials displace existing commodity markets (e.g. for rubber, cotton or copper) and cause massive job loss⁹. Now, as during the previous industrial revolution, it will be the world's poorest people who are least able to adapt quickly in the face of technological change.

Overview of investment and commercial value

Investment in nanotechnology is growing rapidly

Combined investment in nanotechnology by the government and private sectors is growing rapidly from year to year. About US\$10 billion was spent on nanotechnology research and development in 2004, which is almost double the money spent in 2003¹⁰. At least 60 countries have established national nanotechnology research programs, about half of which are in Europe¹¹.

In 2004, Japan led the way, investing almost US\$4 billion, with the US not far behind at US\$3.4 billion. The combined investment from Germany, the UK, France and Italy was about half this. Following that in order of descending investment, was: Taiwan, South Korea, China and Australia.¹²

The growth of private sector investment in nanotechnology is now outpacing that of government. 2003 was the first year that private sector funding about equaled public funding, but in 2004 the corporate sector's investment was more like two-thirds of the total money invested.

Nanotechnology cuts across all industry sectors and investment reflects this. Virtually all the Fortune 500 companies now run nano-programs.

To put the scale of nanotech investment in context, the US government's US\$5 billion for nano research and development between 2001 and 2006 makes it the biggest publicly funded science endeavor since the Apollo moon landing¹³.

Nanotechnology is already making a commercial impact

In 2004, global sales of nanotechnology products totaled US\$10 billion. The overwhelming majority of this revenue came from sales of nanoscale materials, with a very small amount coming from nanodevices¹⁴. Industry analysts Lux Research estimated that products incorporating nanomaterials constituted around 0.1% of global manufacturing output¹⁵.

Future predictions of industry growth are necessarily speculative and vary widely. In 2001, the US National Science Foundation estimated that by 2015 the global nano industry would be worth US\$1trillion, employ 2 million workers directly, and contribute to the production of half the world's new manufactured products¹⁶. However growth figures continue to be revised upwards, with some recent comments indicating the NSF believes the US\$1trillion figure may be reached as early as 2011.

In 2004 industry analysts Lux Research estimated that by 2014 products incorporating nanomaterials would constitute around 15% of global manufactured goods, with a total value



of as much as US\$2.6trillion¹⁷. To put this into context, that would approach the size of the information technology and communication industries combined.

Nanoproducts – what’s available now and what can we expect in the future

Products containing nanomaterials already on the market

Although some people still describe this phase of nano’s commercialization as being “pre-competitive”, more than 720 products containing nanomaterials are already available¹⁸.

Products containing nanomaterials have been released commercially in the absence of regulatory oversight. There is no legal requirement anywhere in the world for manufacturers to conduct new safety tests on nano-scale ingredients to ensure that the people who use these products and the workers involved in product manufacture, packaging and transport, are not exposed to unacceptable risks. There is similarly no requirement for manufacturers to demonstrate that the novel properties of nano-scale ingredients, nano-processing, or product manufacture do not present an increased negative impact to the environment. There is no requirement for manufacturers to indicate the inclusion of nanoscale ingredients on product labels.

The following list represents just a fraction of the products that are already on the market:

- Transparent sunscreens
- Cosmetics including lipsticks, face powders, hair conditioners, moisturizers and anti-ageing creams
- Temperature moderating, stain, moisture and odor-repellent clothing
- Food additives
- Food packaging
- Agricultural fertilizers
- Long-lasting paints furniture varnishes and car coatings
- Self-cleaning windows and building surfaces
- Computer chips and mobile phones
- Inks
- Magnetic recording tapes and memory storage devices
- Optical fibers
- Chemical-mechanical polishing
- Land-mine detectors
- Solid-state compasses
- Fuel cells
- Industrial catalysts
- Specialist automotive and aerospace components
- Broad range of military applications
- Display technology for laptops, mobile phones, digital cameras
- Football stadium lights
- Metal-cutting tools
- Self-cleaning surfaces for glass and building surfaces
- Glare-reducing coatings for eyeglasses and car windscreens
- Automatic catalysts converters
- Bumper bars and step assists for cars
- Tennis balls and racquets
- Dental-binding agents
- Burn and wound dressings
- Bio-imaging products
- Nanomaterials for environmental remediation
- Disinfectants and anti-bacterial products
- Anti-graffiti coatings for walls

What will nanotechnology look like in the future?

It is difficult to imagine exactly how nanotechnology development is likely to unfold and what its timeline may be. Even the industry experts revise their forecasts every few years.

We know that the use and production of nanomaterials will expand significantly over the coming years, with implications for human health, the environment and broader trade relations. However it is hard to know how many of the hypothetical higher-tech applications of nanotechnology will actually come to fruition, and when.

Some of the current and hypothetical applications of nanotechnology are described briefly below.



Present day: Applications based primarily on the use of passive and active nanomaterials for their novel properties. This draws on well-established branches of applied science including materials science. Key applications are in coatings, pigments, electronics and photonics and biotechnology.

Medium-term (2015): Half of all newly designed advanced materials and manufacturing processes may be built using control at the nanoscale, with increased use of nanoscale devices. Creation of three-dimensional nanosystems and the development of precise molecular assembly. Healthcare and life sciences applications become significant as nano-enabled pharmaceuticals and medical devices emerge from lengthy human trials. Technology convergence enables vastly superior treatment of disease (including an effective treatment for cancer) and life extension, including via the production of synthetic organs¹⁹. 'Smart' foods interact with consumers to 'personalize' food, changing color, flavor or nutrients on demand²⁰ or in response to an individual's allergies or nutrient needs²¹

Longer term (2015-2050): Development of molecular assembly-based nanofactories capable of decentralized, atomically-precise manufacture of everything from bicycles to supercomputers to weapons²². Atomic-level genetic control of crops, plants and animals; use of ubiquitous nano surveillance and monitoring systems on farms increases productivity and reduces labor needs. Fast, broadband interfaces directly between the human brain and machines that transform work in factories, control automobiles, ensure military superiority to its early developers, and enable new sports, art forms and modes of interaction between people²³. "Instead of harvesting grain and cattle for carbohydrates and protein, nanobots could assemble the desired steak or flour from carbon, hydrogen and oxygen atoms present in the air as water and carbon dioxide"²⁴

Why the science of the small brings huge problems

The expectations and hype surrounding nanotechnology have fuelled a nano gold rush. Governments and corporations world-wide have scrambled to be part of the 'next industrial revolution', not wanting to be left on the wrong side of a future divide between 'nano-haves' and 'nano-have nots'.

In the midst of this race to boost research, seek patents and commercialize as quickly as possible, serious questions regarding ethics, human and environmental safety, socio-economic disruption and democracy have been ignored. Some key areas where unresolved questions remain include:

Serious ethical problems

Ethical problems underlie nanotechnology's quest to manipulate the very building blocks of life; its aggressive commercialization enabled by research carried out with public monies, but driven by commercial and military interests; and the failure of governments to halt the rapid introduction of nanoproducts and nanomaterials until serious public interest issues are addressed adequately. Nanobiotechnology raises significant ethical concerns in its quest to engineer organisms and manufactured products containing both biological and human-made components. The US National Science Foundation's work to use convergent nanotechnology, biotechnology, information technology and cognitive science to improve human performance beyond species-typical boundaries²⁵ is also particularly ethically problematic. This work has drawn strong criticism from disabilities and human rights advocates concerned that it will create new inequities and further marginalize existing disadvantaged groups.

Risks to human and environmental safety



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There is a growing body of toxicological evidence that nanoparticles present serious new risks to human and environmental health²⁶. Leading scientific organizations, including the United Kingdom's Royal Society, have warned that the risks of nanotoxicity are serious. In 2004 the Royal Society recommended that nanomaterials should be treated as new chemicals²⁷ and be subject to new safety assessments prior to their inclusion in consumer products²⁸. The Royal Society further recommended that factories and research laboratories should treat nanomaterials as if they were hazardous²⁹, and until the environmental impacts of nanomaterials are better known, their release into the environment should be avoided as far as possible³⁰. And yet no government world-wide has introduced a regulatory system to protect the health of workers, the public and the environment from the risks associated with nanotoxicity. The Royal Society clearly recommended prohibiting the deliberate release of nanomaterials for bioremediation until its ecological implications were better understood, and yet this is already taking place. Concerns surrounding the potential for deliberate or unintentional release of self-replicating organisms that could cause ecological damage cannot be ruled out.

Socio-economic disruption

Very little attention has been paid to studies of the likely disruptive impacts, and massive job losses, associated with the expansion of the nanotechnology industry and its displacement of existing industries. Novel nanomaterials could replace markets for existing commodities, disrupt trade and eliminate jobs in nearly every industry. Industry analysts Lux Research Inc. have warned that nanotechnology will result in large-scale disruption to commodity markets and to all supply and value chains: "*Just as the British industrial revolution knocked handspinnners and handweavers out of business, nanotechnology will disrupt a slew of multi billion dollar companies and industries*"³¹. This would have the most devastating impact on people in the developing world whose countries are dependent on trade in raw resources (e.g. rubber, cotton, copper) that were displaced by nanomaterials³². There are clearly profound impacts for labor associated with the promise of molecular manufacturing systems. In the nearer term, the introduction of nanosensors, along with increasingly automated production, has the potential to drive down demand for on-farm and manufacturing labor.

A deadly nano arms race

Nanotechnology will provide the tools for ubiquitous surveillance, with significant implications for civil liberties. The growing nano arms race may enable a whole new generation of weapons of mass destruction including nano-biological weaponry. The expansion of nanoweaponry is truly alarming, especially given the enormous difficulties associated with establishing reliable safeguards against its use. Retired Admiral David Jeremiah of the US navy believes nanotechnology will prove more significant than nuclear weapons in determining future political power relations³³. Military research and development is already attracting the lion's share of nanotechnology funding from the US government, which is the world's largest single investor in nanotechnology³⁴. In the 2006 US\$1.3billion budget for the US National Nanotechnology Initiative³⁵, the US Department of Defense received \$436 million (33.5% of the nanotechnology budget). Conversely, only \$38.5million (less than 4%) was earmarked for both the study of the health, safety and environmental impacts of nanotechnology, and also potential applications in these areas.

Erosion of democracy

Despite the huge transformative potential of nanotechnology, and the billions of dollars of public funding invested in research and development, there is little transparency in how the decisions shaping this technology are being made. There has been no effort to engage the public in decision making about its introduction or regarding how the billions of dollars of public monies should be invested. Friends of the Earth believes that it is unacceptable that



the introduction of such a transformative new technology should be driven solely by business and political interests, with so many outstanding questions and without the involvement of the broader community.

Friends of the Earth US supports a moratorium on commercial nano production

Friends of the Earth US is calling for an immediate moratorium on all commercial release of nanotechnological materials and products. Given the serious risks and impacts associated with nanotechnology's introduction, public involvement in decision making regarding nanotechnology and the introduction of a regulatory regime based on the precautionary principle must be prerequisites to further commercialization of nanoproducts.

It is essential that civil society has an informed debate about whether or not it actually wants the changes that nanotechnology will bring, and has the opportunity to be involved in decision making about public policy and regulatory development.

To manage nanotechnology's risks, we recommend the development of a comprehensive regulatory regime in which:

- All nano-materials and products are subjected to rigorous health and environmental impact assessment, including evidence based testing, prior to commercial production and/ or environmental release
- Due to the radically altered characteristics of nano materials compared to their larger scale counterparts, nano materials are assessed as new substances, even where the properties of larger scale counterparts are well-known
- The assessments are based on the precautionary principle and the onus is on proponents to prove safety, rather than relying on an assumption of safety
- Risk assessment includes the entire life cycle of the products in question, from 'cradle to grave'
- All relevant data related to safety assessments, and the methodologies used to obtain them, are placed in the public domain
- Nanotechnological products are subjected to a social impact assessment to ensure the development, application and control of nanotechnologies do not reinforce or create new forms of socio-economic inequalities, concentrations of wealth and power, means of social control and oppression, or weapons of destruction
- Nanotechnological products are subject to an ethical assessment within a robust framework developed with widespread public input

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